

pim, Version 0.3: Alignment-free Estimation of Nucleotide Diversity

Bernhard Haubold, Floyd A. Reed, and Peter Pfaffelhuber

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1 Introduction

pim is a program for estimating the number of mismatches per site between two unaligned sequences [1].

2 Getting Started

pim was written in C on a computer running Mac OS X; it is intended to work on any UNIX system with a C compiler. However, please contact BH at haubold@evolbio.mpg.de if you have any problems with the program.

- Unpack the program

```
tar -xvzf pim_XXX.tgz
```

where XXX indicates the version.

- Change into the newly created directory

```
cd Pim_XXX
```

and list its contents

```
ls
```

- Generate pim

```
make
```

- List its options

```
./pim -h
```

- Test the program on two pairs of simulated 100 kb sequences containing 1000 SNPs:

```
./pim -s Data/sbjct.fasta Data/query.fasta
```

- For the following test, the programs ms2dna and getSeq need to be installed on your computer. These are available from

<http://guanine.evolbio.mpg.de/bioBox/>

Given that these programs are in your path, you can repeatedly simulate pairs of 100 kb sequences with 1000 SNPs and compute $\hat{\pi}_m$:

```
sh ./test.sh
```

- In order to calculate the average $\hat{\pi}_m$ value, you can try:

```
sh ./test.sh |  
awk '{s += $2; c++}END{print "avg:", s/c}'
```

3 Application to *Drosophila* Chromosomes

- Apply `pim` to the the unaligned left arm of chromosome 3 sequenced from two members of the Raleigh strain collection of *Drosophila melanogaster*¹

```
./pim -s Data/chr3L_RAL-303_1.fasta Data/chr3L_RAL-301_1.fasta
```

- Carry out a sliding window analysis of the *Drosophila* data

```
./pim -w 150000 -s Data/chr3L_RAL-303_1.fasta Data/chr3L_RAL-301_1.fasta
```

To visualize the resulting curve you can, for example, pipe it though the program `graph`, which is part of the GNU Plotting Utilities:

```
./pim -w 150000 -s Data/chr3L_RAL-303_1.fasta Data/chr3L_RAL-301_1.fasta |  
graph -T X
```

4 Change Log

1. Version 0.1 (May 24, 2010)

- First release.

2. Version 0.2 (November 23, 2010)

- Fixed serious bug in `pim.windowAnalysis`.

3. Version 0.3 (January 20, 2011)

4. Removed spurious reference to the Gnu Scientific Library from `Makefile`.

5 Acknowledgement

This software is based on the `dss-sort` library by G. Manzini [2].

6 Listing

The following listing documents the central part of the code for `pim`.

```
1  /***** pim.c *****/  
  * Description: Compute the estimator of the number  
  * of pairwise mismatches from shustring lengths  
  * as described in Haubold, Reed, Pfaffelhuber  
  * (2010). Alignment-free estimation of  
6  * nucleotide diversity identifies a  
  * novel genomic outlier of unusually low genetic  
  * diversity in Drosophila melanogaster. In  
  * preparation.  
  * Author: Bernhard Haubold, haubold@evolbio.mpg.de  
11 * Date: Thu Mar 12 15:40:15 2009  
  *****/  
#include <stdio.h>  
#include <stdlib.h>  
#include <unistd.h>
```

¹Sequence data obtained from the *Drosophila* Population Genomics Project, <http://www.dpgp.org/>

```

16 #include <fcntl.h>
#include "eprintf.h"
#include "sequenceData.h"
#include "interface.h"
#include "lcpTree.h"
21 #include "pim.h"

void windowAnalysis(int *sl, int n, int w, int stepLen);

int main(int argc, char *argv[]){
26     int queryDscr;
    Args *args;
    char *version;
    int i;

31     version = "0.3";
    setprogname2("pim");
    args = getArgs(argc, argv);
    if(args->p)
        printSplash(version);
36     if(args->h || args->e)
        printUsage(version);
    if(args->numInputFiles == 0){
        queryDscr = 0;
        runAnalysis(queryDscr, args);
41     }else{
        for(i=0;i<args->numInputFiles;i++){
            queryDscr = open(args->inputFiles[i],0);
            if(queryDscr < 0)
                eprintf("ERROR:_could_not_open_query_file_%s\n",args->inputFiles[i
                    ]);
46            runAnalysis(queryDscr, args);
            close(queryDscr);
        }
    }
    free(args);
51     free(progname());
    return 0;
}

56 void runAnalysis(int queryDscr, Args *args){
    Sequence *query, *sbjct;
    Sequence *seq;
    int *sl;
    double p;
61     int sbjctDscr;

    sbjctDscr = open(args->s,0);
    if(sbjctDscr < 0)
        eprintf("ERROR:_could_not_open_sbjct_file_%s\n",args->s);
66     query = readFasta(queryDscr);
    sbjct = readFasta(sbjctDscr);
    close(sbjctDscr);

```

```

prepareSeq(query);
prepareSeq(sbjct);
71 seq = catSeq(query, sbjct);
seq->sbjctGc = gcContent(sbjct);
seq->queryGc = gcContent(query);
query = freeSequence(query);
sbjct = freeSequence(sbjct);
76 sl = getLcpTreeShulens(args, seq);
if(args->w){
    windowAnalysis(sl, seq->numQueryNuc/2, args->w, args->S);
    return;
}
81 if(args->u)
    printShulens(sl, seq->numQueryNuc/2);
else{
    p = pim(args, seq, sl);
    printf("pi_m:_%8.4e\n", p);
86 }
    freeSequence(seq);
}

void printShulens(int *sl, int n){
91     int i;
    for(i=0; i<n; i++){
        printf("%d\t%d\n", i+1, sl[i]);
    }
}

96 /* windowAnalysis: sliding window analysis of shulens */
void windowAnalysis(int *sl, int n, int winLen, int stepLen){
    int i, j, s;
    double pos;
101    double t;

    s = 0;
    for(i=0; i<winLen; i++)
        s += sl[i];
106 pos = (double)i - winLen/2.;
    t = (double)winLen/(double)s;
    printf("%.1f\t%g\n", pos, t);

    for(i=winLen; i<n; i+=stepLen){
111     for(j=0; j<stepLen; j++){
        s += sl[i+j];
        s -= sl[i+j-winLen];
    }
    pos = (double)i - winLen/2.;
116    t = (double)winLen/(double)s;
    printf("%.1f\t%g\n", pos, t);
    }
}

121 double pim(Args *args, Sequence *seq, int *sl){

```

```

    int i;
    Result *res;
    double avgShulen;

126     res = countShulens(sl, seq->numQueryNuc);

    avgShulen = 0;
    for(i=0; i<res->n; i++)
131     avgShulen += res->c[i] * (i);

    return seq->numSbjctNuc/avgShulen;
}

136 Result *countShulens(int *sl, int n){
    int max = -1;
    Result *res;
    int i, *c;

141     /* find maximum */
    for(i=0; i<n; i++)
        if(max < sl[i])
            max = sl[i];
    c = (int *)emalloc((max+1)*sizeof(int));
146     for(i=0; i<=max; i++)
        c[i] = 0;
    for(i=0; i<n; i++)
        c[sl[i]]++;
    res = (Result *)emalloc(sizeof(Result));
151     res->n = max+1;
    res->c = c;
    return res;
}

```

References

- [1] B. Haubold, F. A. Reed, and P. Pfaffelhuber. Alignment-free estimation of nucleotide diversity identifies a novel genomic outlier of unusually low genetic diversity in *Drosophila melanogaster*. *In preparation*, 2010.
- [2] G. Manzini and P. Ferragina. Engineering a lightweight suffix array construction algorithm. In *ESA '02: Proceedings of the 10th Annual European Symposium on Algorithms*, pages 698–710, London, UK, 2002. Springer-Verlag.